



22855 U.S. PTO
122203

UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))	
Attorney Docket No. <u>6601.P017</u> (maximum 12 characters)	
First Named Inventor <u>Andrew P. Nguyen</u>	
Title: <u>A SHOCK ABSORBING FLUIDIC ACTUATOR</u>	
Express Mail Label No. <u>EV 409 361 957 US</u>	

ADDRESS TO:	Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450
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APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. **Fee Transmittal Form (e.g., PTO/SB/17)**
(Submit an original and a duplicate for fee processing)
2. **Applicant Claims Small Entity Status. (37 CFR 1.27)**
3. **Specification (Total Pages 23 – including title page)**
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. **Drawings(s) (35 USC 113) (Total Sheets 9)**
5. **Oath or Declaration (Total Pages 4)**
 - a. Newly Executed (Original or Copy)
 - b. Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 18 completed)
 - i. **DELETIONS OF INVENTOR(S)** Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
 - c. Unsigned.
6. **Application Data Sheet. (37 CFR 1.76)**
7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies

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ACCOMPANYING APPLICATION PARTS

9. **Assignment Papers (cover sheet & documents(s))**

10. a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)

11. b. Power of Attorney

12. English Translation Document (if applicable)

13. a. Information Disclosure Statement (IDS)/PTO-1449 (or PTO/SB/08)

14. b. Copies of IDS Citations

15. **13. Preliminary Amendment**

16. **14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)**

17. **15. Certified Copy of Priority Document(s) (if foreign priority is claimed)**

18. **16. Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.**

19. **17A. Claim for Foreign Priority**

20. **17B. Other: Certificate of Express Mail with copy of itemized postcard.**

17C. X Pursuant to 37 C.F.R. 1.136(a)(3), applicant(s) hereby request and authorize the U.S. Patent and Trademark Office to (1) treat any concurrent or future reply that requires a petition for extension of time as incorporating a petition for extension of time for the appropriate length of time and (2) charge all required fees, including extension of time fees and fees under 37 C.F.R. 1.16 and 1.17, to Deposit Account No. 02-2666.

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title (e.g., by way of preliminary amendment), or in an Application Data Sheet Under 37 C.F.R. 1.76:

Continuation **Divisional** **Continuation-in-part (CIP)**
Of Prior Application No.: _____ **Examiner** _____ **Group Art Unit** _____
(which is a continuation/ divisional/ CIP of prior application no. _____,
which is a continuation/ divisional/ CIP of prior application no. _____) (List entire chain of priority)

Applicant(s): Also include a Preliminary Amendment to amend the specification to claim priority.
For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. **Correspondence Address**
 Customer Number or Bar Code Label 008791 **(Insert Customer No. or Attach Bar Code Label**
 here)
 Correspondence Address Below
NAME Suk S. Lee
ADDRESS BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
12400 Wilshire Boulevard
Seventh Floor
CITY Los Angeles **STATE** California **ZIP CODE** 90025
Country U.S.A. **TELEPHONE** (408) 720-8300 **FAX** (408) 720-8383

Name (PRINT/TYPE): Michael A. B. rnadicou Registration No.: 35,934
Signature: michael a. b. rnadicou Date: December 22, 2003

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122203

FEE TRANSMITTAL FOR FY 2004

(FY 2004 Begins 10/01/2003)

TOTAL AMOUNT OF PAYMENT (\$) 946.00

Complete if Known:

Application No. Not yet assigned
 Filing Date Herewith (12-22-2003)
 First Named Inventor Andrew P. Nguyen
 Examiner Name Not yet assigned
 Art Unit Not yet assigned
 Attorney Docket No. 6601.P017

 Applicant claims small entity status. See 37 CFR 1.27.

METHOD OF PAYMENT (check all that apply)

Check Credit Card Money Order Other None

Deposit Account

Deposit Account Number : 02-2666

Deposit Account Name:

The Director is Authorized to do the following with respect to the above-identified Deposit Account:

Charge fee(s) indicated below.

 Credit any overpayments. Charge any additional fees during the pendency of this application. Any concurrent or future reply that requires a petition for extension of time should be treated as incorporating an appropriate petition for extension of time and all required fees should be charged. Charge fee(s) indicated below except for the filing fee.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee	Fee	Fee	Fee
Code	Code	Code	(\$)
1001	770	2001	385
1002	340	2002	170
1003	530	2003	265
1004	770	2004	385
1005	160	2005	80
		Utility application filing fee	<u>770.00</u>
		Design application filing fee	<u> </u>
		Plant filing fee	<u> </u>
		Reissue filing fee	<u> </u>
		Provisional application filing fee	<u> </u>

SUBTOTAL (1) \$ 770.002. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

	Extra Claims	Fee from below	Fee Paid
Total Claims <u>25</u>	<u>- 20** = 5</u>	<u>X 18.00</u>	<u>= 90.00</u>
Independent Claims <u>4</u>	<u>- 3** = 1</u>	<u>X 86.00</u>	<u>= 86.00</u>
Multiple Dependent			<u>=</u>

**Or number previously paid, if greater; For Reissues, see below.

Large Entity	Small Entity	Fee Description
Fee	Fee	Fee
Code	Code	(\$)
1202	18	2202 9
1201	86	2201 43
1203	290	2203 145
1204	86	2204 43
1205	18	2205 9
		Claims in excess of 20
		Independent claims in excess of 3
		Multiple dependent claim, if not paid
		**Reissu independent claims over original patent
		**Reissu claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 176.00

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

<u>Larg Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
<u>Fee</u>	<u>Fee</u>	<u>Fee</u>	
<u>Code</u>	<u>Fee (\$)</u>	<u>Code</u>	<u>Fee (\$)</u>
1051	130	2051	65
1052	50	2052	25
1053	130	1053	130
			Non-English specification
1812	2,520	1812	2,520
1813	8,800	1813	8,800
1804	920*	1804	920*
1805	1,840*	1805	1,840*
			For filing a request for ex parte reexamination
			Request for inter parties reexamination
			Requesting publication of SIR prior to Examiner action
			Requesting publication of SIR after Examiner action
1251	110	2251	55
1252	420	2252	210
1253	950	2253	475
1254	1,480	2254	740
1255	2,010	2255	1,005
			Extension for reply within first month
			Extension for reply within second month
			Extension for reply within third month
			Extension for reply within fourth month
			Extension for reply within fifth month
1401	330	2401	165
1402	330	2402	165
1403	290	2403	145
1451	1,510	1451	1,510
1452	110	2452	55
1453	1,330	2453	665
1501	1,330	2501	665
1502	480	2502	240
1503	640	2503	320
1460	130	1460	130
1807	50	1807	50
1806	180	1806	180
8021	40	8021	40
			Petitions to the Commissioner
			Processing fee under 37 CFR 1.17(q)
			Submission of Information Disclosure Stmt
			Recording each patent assignment per property (times number of properties)
1809	770	2809	385
			For filing a submission after final rejection (see 37 CFR 1.129(a))
1814	110	2814	55
1810	770	2810	385
			For each additional invention to be examined (see 37 CFR 1.129(b))
1801	770	2801	385
1802	900	1802	900
			Request for Continued Examination (RCE)
			Request for expedited examination of a design application
1504	300	1504	300
1505	300	1505	300
1803	130	1803	130
1808	130	1808	130
1454	1,330	1454	1,330
			Publication fee for early, voluntary, or normal pub.
			Publication fee for republication
			Request for voluntary publication or republication
			Processing fee under 37 CFR 1.17(i) (except provisionals)
			Acceptance of unintentionally delayed claim for priority
Other fee (specify) _____			
Other fee (specify) _____			

SUBTOTAL (3) \$.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:Typed or Printed Name: Michael A. BernadicouSignature: Michael A. Bernadicou Date: December 22, 2003Reg. Number: 35,934 Telephone Number: (408) 720-8300

Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Serial/Patent No.: Not yet assigned Filing/Issue Date: Herewith (12-22-03)
Client: ASML
Title: A Shock Absorbing Fluidic Actuator

BSTZ File No.: 006601.P017 Atty/Secty Initials MAR/MAK/den
Date Mailed: December 22, 2003 Docket Due Date: ***

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

Amendment/Response (____ pgs.) Express Mail No. EV409361957US Check No. 61867
 Appeal Brief (____ pgs.) (in triplicate) _____ Month(s) Extension of Time Amt: \$946.00
 Application - Utility (23 pgs., with cover and abstract) Information Disclosure Statement & PTO-1449 (____ pgs.) Check No. _____
 Application - Rule 1.53(b) Continuation (____ pgs.) Issue Fee Transmittal Amt: _____
 Application - Rule 1.53(b) Divisional (____ pgs.) Notice of Appeal _____
 Application - Rule 1.53(b) CIP (____ pgs.) Petition for Extension of Time _____
 Application - Rule 1.53(d) CPA Transmittal (____ pgs.) Petition for _____
 Application - Design (____ pgs.) Postcard _____
 Application - PCT (____ pgs.) Power of Attorney (____ pgs.)
 Application - Provisional (____ pgs.) Preliminary Amendment (____ pgs.)
 Assignment and Cover Sheet Reply Brief (____ pgs.)
 Certificate of Mailing **Express w/PC** Response to Notice of Missing Parts
 Declaration & POA (4 pgs.) unsigned Small Entity Declaration for Indep. Inventor/Small Business
 Disclosure Docs & Orig & Copy of Inventor's Signed Letter (____ pgs.) Transmittal Letter, **XXXX Utility**
 Drawings: 9 # of sheets includes 9 figures Fee Transmittal, in duplicate

Other: _____

Certificate of Express Mailing

"Express Mail" mailing label number: EV 409 361 957 US
Date of Deposit: December 22, 2003

I hereby certify that I am causing this paper or fee, and the documents indicated on the postcard above, to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to: MS: Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450

Dianne Neathery

(Typed or printed name of person mailing paper or fee)

Dianne Neathery

(Signature of person mailing paper or fee)

December 22, 2003

(Date signed)